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| TRANSMITTAL FORM  |  | Application No.                               | 10/559,633   |  |  |  |
|---|--|---|--|--|--|--|
| (to be used for all correspondence after initial filing)  |  | Filing Date                                   | December 1, 2005   |  |  |  |
|   |  | First Named Inventor                          | Pierre Roy   |  |  |  |
|   |  | Art Unit                                      |  |  |  |  |
|   |  | Examiner Name                                 |  |  |  |  |
| Total Number of Pages in This Submiss   | ion 10   | Attorney Docket Number                        | 15675P591  |  |  |  |
| ENCLO   | SURES (chec  | ck all that apply)                            |  |  |  |  |
| Fee Transmittal Form  | Drawing(s  | )   | After Allowance Communication to TC  |  |  |  |
| Fee Attached  | Licensing-r  | elated Papers                                 | Appeal Communication to Board of Appeals and Interferences   |  |  |  |
| Amendment / Response  | Petition   |   | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)   |  |  |  |
| After Final Affidavits/declaration(s)   | Petition to C<br>Provisional   | Convert a<br>Application                      | Proprietary Information  |  |  |  |
| Extension of Time Request   | Power of A Change of   | ttorney, Revocation<br>Correspondence Address | Status Letter  |  |  |  |
| Express Abandonment Request   | Express Abandonment Request  Information Disclosure Statement  Request for |   | Other Enclosure(s) (please identify below):  International Search Report; 2 prior art references; return |  |  |  |
| Information Disclosure Statement  |  |   |  |  |  |  |
| PTO/SB/08 CD, Numbe   |  | er of CD(s)                                   | postcard   |  |  |  |
| Certified Copy of Priority Document(s)  Landso  |  | cape Table on CD                              |  |  |  |  |
| Response to Missing Parts/<br>Incomplete Application  | Remarks  | 1   |  |  |  |  |
| Basic Filing Fee  | Remarks  | ا   |  |  |  |  |
| Declaration/POA   |  |   |  |  |  |  |
| Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53   |  |   |  |  |  |  |
| SIGNATUR  | RE OF APPLICA  | NT, ATTORNEY, OR AG                           | ENT  |  |  |  |
| Firm Eric S. Hyman  | I LIIC S. FIYIHAR, REE, INC. 30.139  |   |  |  |  |  |
| Individual name BLAKELY, SOKOLOPE, TAYLOR & ZAFMAN LLP  |  |   |  |  |  |  |
| Signature   |  |   |  |  |  |  |
| Date () 3/ to 20  |  |   |  |  |  |  |
| CERTIFICATE OF MAILING/TRANSMISSION   |  |   |  |  |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |  |   |  |  |  |  |
| Typed or printed name   Melissa Ste   | ad   | <del></del>                                   |  |  |  |  |
| Signature Melisse Stead Date 3-13-06  |  |   |  |  |  |  |

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## FEETRANSMITTAL for FY 2005 Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27. TOTAL AMOUNT OF PAYMENT (\$) Complete if Known Application Number 10/559,633 Filing Date December 1, 2005 First Named Inventor Pierre Roy Examiner Name Art Unit Attorney Docket No. 15675P591

| TOTAL AN  | WOUNT OF   | PAYMEN    |                                  | (\$)  | Attorney Docket No.   | 15675P591          |          |  |
|---|--|-----------|----------------------------------|---|---|--------------------|----------|--|
| METHOD OF PAYMENT (check all that apply)                    |  |           |                                  |   |   |                    |          |  |
| Check Credit card Money Order None Other (please identify): |  |           |                                  |   |   |                    |          |  |
| Deposit A   | Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP |           |                                  |   |   |                    |          |  |
|   | For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)       |           |                                  |   |   |                    |          |  |
|   | Charge fee(s) indicated below   Charge fee(s) indicated below, except for the filing fee                     |           |                                  |   |   |                    |          |  |
| Charge any additional fee(s) or underpayment of fee(s)      |  |           |                                  |   |   |                    |          |  |
|   | FEE CALCULATION  |           |                                  |   |   |                    |          |  |
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| Code<br>1051  | (\$)   | Code 2051 | (\$)<br>65                       | Complement 1-4  | a filing for an arth  |                    |          |  |
| 1051  | 50   | 2051      | Sucharge - late ming fee of bath |   |   |                    |          |  |
| 2053  | 130  | 2052      |                                  | Surcharge - late provisional filing fee or cover sheet. |   |                    |          |  |
| 1251  | 120  | 2251      |                                  | Non-English specification                               |   |                    |          |  |
| 1252  | 450  | 2252      |                                  |   | Extension for reply within first month  Extension for reply within second month |                    |          |  |
| 1253  | 1,020  | 2253      |                                  |   | extension for reply within third month  |                    |          |  |
| 1254  | 1,590  | 2254      |                                  |   | Extension for reply within fourth month   |                    |          |  |
| 1255  | 2,160  | 2255      |                                  |   | eply within fifth month   |                    |          |  |
| 1401  | 500  | 2401      | 250                              | Notice of Appe  | · · ·   | •                  |          |  |
| 1402  | 500  | 2402      | 250                              | • •   | support of an appea   | ıL                 |          |  |
| 1403  | 1,000  | 2403      |                                  | Request for or  |   |                    |          |  |
| 1451  | 1,510  | 2451      |                                  | · · · · · · · · · · · · · · · · · · ·                   | itute a public use pro  | ceeding            |          |  |
| 1460  | 130  | 2460      |                                  |   | e Commissioner  |                    |          |  |
| 1807  | 50   | 1807      |                                  |   | under 37 CFR 1.17(  | (q)                |          |  |
| 1806  | 180  | 1806      |                                  | •   | Information Disclosu  | · "                | 10       |  |
| 1809  | 790  | 1809      | 395                              | Filing a submissi                                       | ion after final rejection (   | 37 CFR § 1.129(a)) |          |  |
| 1810  | 790  | 2810      |                                  |   |   |                    |          |  |
| Other for   | Other fee (specify)  |           |                                  |   |   |                    |          |  |
|   | SUBTOTAL (2) (\$)  |           |                                  |   |   |                    |          |  |
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| SUBMITTED BY Complete (if applicable) |               |     |                                      |        |           |                |
|---------------------------------------|---------------|-----|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type)                     | Eric S. Hyman | 01/ | Registration No.<br>(Attorney/Agent) | 30,139 | Telephone | (310) 207-3800 |
| Signature                             |               | SAA |                                      |        | Date      | 3/10/06        |

Based on PTO/SB/17 (12-04) as modified by Blakety, Solokoff, Taylor & Zafman (vtr.) 2/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Docket No.: 15675.P591

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pierre Roy, et al.

Examiner:

Application No.: 10/559,633

Art Group:

Filed: December 1, 2005

For:

OCULAR DEVICE FOR CURRENT CONTROLLED VARIABLE DELIVERY OF

**ACTIVE PRINCIPLES** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Mail Stop Amendments

Dear Sir:

In accordance with the duty of disclosure, enclosed is a copy of the IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of English language equivalent of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted within three months of the filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated September 21, 2004 (copy enclosed herewith) in a counterpart which was forwarded to Applicant's Representative in a communication dated September 28, 2004.

With respect to FR2830767 and FR2773320, the English language equivalents US2005/0049541 and US6154671 are provided instead.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Respectfully submitted,

Dated: 31006

Eric Hyman Reg. No. 30,13

**CERTIFICATE OF MAILING** 

12400 Wilshire Boulevard, Seventh Floor Los Angeles, California 90025 (310) 207-3800 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: 3-13-16

Melissa Stead

3-13-06 Date

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## Substitute for form 1449A/PTO **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 Sheet 1 of

| Complete if Known      |                  |  |  |  |  |
|------------------------|------------------|--|--|--|--|
| Application Number     | 10/559,633       |  |  |  |  |
| Filing Date            | December 1, 2005 |  |  |  |  |
| First Named Inventor   | Pierre Roy       |  |  |  |  |
| Art Unit               |                  |  |  |  |  |
| Examiner Name          |                  |  |  |  |  |
| Attorney Docket Number | 15675P591        |  |  |  |  |

| U.S. PATENT DOCUMENTS |              |   |   |  |   |  |  |
|-----------------------|--------------|---|---|--|---|--|--|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number - Kind Code <sup>2</sup> (if known) | Publication Date<br>or Issue Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
|                       |              | US-2005/0049541   | 03-03-2005                                      | Behar et al.                                       |   |  |  |
|                       |              | US-6319240  | 11-20-2001                                      | Beck   |   |  |  |
|                       |              | US-6154671  | 11-28-2000                                      | Parel et al.                                       |   |  |  |
|                       |              | US-6175764  | 01-16-2001                                      | Loeb et al.  |   |  |  |
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|                       |              | US-   |   |  |   |  |  |
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|           | FOREIGN PATENT DOCUMENTS |   |                                |  |   |         |  |  |
|-----------|--------------------------|---|--------------------------------|--|---|---------|--|--|
| Examiner  | Cite                     | Foreign Patent Document   |                                |  | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |         |  |  |
| initials* | No.1                     | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document |   | T⁵      |  |  |
|           | ,                        | WO 01/91853   | 12-06-2001                     | Biophoretic Therapeutic Systems, LLC               |   |         |  |  |
|           |                          | EP 1127586  | 07-20-2005                     | Iomed, Inc.  |   |         |  |  |
|           |                          |   |                                |  |   |         |  |  |
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| Examiner  | Date     |     |
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| Signature | Consider | red |

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.